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Attorney's Docket No.: 3801P001C3

Patent

In re the Application of: Martin L. Hess, et al.
(inventor(s))

Application No.: 09/777,306

Filed: February 5, 2001

For: Information Presentation and Management in an Online Trading Environment

**AMENDMENT UNDER
37 C.F.R. § 1.116
EXPEDITED PROCEDURE**

EXAMINING GROUP 2100

(title)

Mail Stop AF
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450

SIR: Transmitted herewith is an **Amendment After Final Action** for the above-referenced application.

Applicant claims small entity status. See 37 CFR 1.27.

No additional fee is required.

A **Notice of Appeal is enclosed.**

The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)		SMALL ENTITY	OTHER THAN A SMALL ENTITY
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra		Rate	Additional Fee
Total Claims	* 33	Minus	** 34	0		X9	\$
Indep. Claims	* 6	Minus	*** 10	0		X43	\$
First Presentation of Multiple Dependent Claim(s)						+145	\$
						Total Add. Fee	\$
						Total Add. Fee	\$

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450

on July 30, 2004
Date of Deposit

Debbie Peloquin Name of Person Mailing Correspondence

Debbie Peloquin July 30, 2004
Signature Date

Docket No.: 3801P001C3
Application No.: 09/777,306

A check in the amount of \$_____ is attached for presentation of additional claim(s).
 Applicant(s) hereby Petition(s) for an Extension of Time of _____ month(s) pursuant to 37 C.F.R. § 1.136(a).

A check for \$_____ is attached for processing fees under 37 C.F.R. § 1.17.
 Please charge my Deposit Account No. 02-2666 the amount of \$_____.

A duplicate copy of this sheet is enclosed.

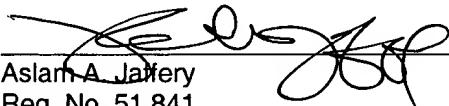
The Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-2666 **(a duplicate copy of this sheet is enclosed):**

Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of extra claims.
 Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Date: July 30, 2004

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Aslam A. Jaffery
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Our Docket No: 3801P001C3

**RESPONSE UNDER 37 C.F.R. § 1.116
-- EXPEDITED PROCEDURE --
EXAMINING GROUP 2100**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Hess, et al.) Examiner: Vu, Viet Duy
Application No: 09/777,306) Art Unit: 2154
Filed: February 5, 2001)
For: INFORMATION PRESENTATION AND)
MANAGEMENT IN AN ONLINE)
TRADING ENVIRONMENT)

RESPONSE AFTER FINAL

Mail Stop AF
Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the final Office Action mailed June 4, 2004, Applicants respectfully request the Examiner to enter the following amendment and to consider the following remark.

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that I am causing the above-referenced correspondence to be deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and that this paper or fee has been addressed to the Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: July 30, 2004

Name of Person Mailing Correspondence: Debbie Peloquin

Debbie Reliquin
Signature

July 30, 2004

Date